

# COMMITTEE ON AWARDS FOR INNOVATION IN HIGHER EDUCATION

## MEETING NOTICE AND AGENDA

**April 27, 2015**

**1:30 pm**

**California State Capitol  
Room 113  
Sacramento, California 95814**

- I. Call to Order and Roll Call
- II. Approval of the Minutes of the March 20, 2015 Meeting
- III. Chair's Report
- IV. Agenda Items

*Item A: Approval of Reports on Uses of Funds—Action*

*Item B: Future Reporting to the Director of Finance—Information*

*Item C: Strategy to Encourage Dissemination and Replication of Innovations—Information*

- V. Public Comment
- VI. Adjournment

## ADDITIONAL INFORMATION

This agenda is posted at <http://www.dof.ca.gov/innovationawards/>.

For additional information or responses to questions, please contact:

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## **ITEM A: Approval of Reports on Uses of Funds**

### ***For Committee Action***

#### **Summary:**

Staff recommends that the committee approve the reports submitted by applicants selected for awards indicating their proposed uses of those funds.

#### **Background:**

At the March 20 meeting, the committee recognized the following applications and made allocations as follows:

- To be eligible for distribution of awards of \$5,000,000:
  - [12] California State University, Monterey Bay (#1)
  - [16] California State University, San Bernardino
  - [31] Long Beach City College
  - [43] Santa Ana College
  - [46] Shasta College
- To be eligible for distribution of awards of \$3,000,000:
  - [08] California State University, Dominguez Hills
  - [13] California State University, Monterey Bay (#2)
  - [21] City College of San Francisco
  - [30] Humboldt State University
  - [41] San Francisco State University
- To be eligible for distribution of awards of \$2,500,000:
  - [03] Butte College
  - [18] California State University, San Marcos (#2)
  - [52] University of California, Los Angeles
  - [57] West Hills College Lemoore

Pursuant to provisions of the Budget Act of 2014, the committee must approve the proposed uses of an award before the Director of Finance may release funds to the coordinator of an application selected for an award.

At the previous meeting, the committee approved instructions for use by the awardees in preparing reports on their proposed uses of funds. These instructions were issued by the Director of Finance on March 20, and staff held a call with awardees on March 20 to discuss the committee's direction. The instructions required an applicant to include the following in its report:

- The amount of funds proposed for expenditure by the application coordinator, a narrative describing the purposes for which those funds would be expended, and a timeline for expenditure. The instructions directed the awardee to explain how those purposes are (1) one-time in nature and (2) related to the state's priorities.

- If the application coordinator intends to transfer funds to other participants in the application that are eligible to receive funds, the amount of funds to be transferred, the amount of funds proposed for expenditure by each participant, a narrative describing the purposes for which those funds would be expended, and a timeline for expenditure. Again, the instructions directed the awardee to explain how those purposes are (1) one-time in nature and (2) related to the state's priorities.

Furthermore, the instructions indicated that, while an award is a one-time allocation from the state, if an awardee proposes, funds could be spent over multiple years. Awardees were required to submit these reports no later than April 10.

Each of the awardees has submitted a report. Staff reviewed these reports and finds that they comply with the conditions included in the instructions. The reports are included as Appendix 1 to this item.

**Staff Recommendation:**

**RESOLVED**, by the Committee on Awards for Innovation in Higher Education:

1. The reports included in Attachment A to this item are hereby approved. The Director of Finance is hereby authorized to release funds to the coordinators of applications selected for awards.

## **ITEM B: Future Reporting to the Director of Finance**

### ***For Information Only***

#### **Summary:**

Staff will present information regarding future reports expected to be submitted by awardees to the Director of Finance.

#### **Background:**

The Budget Act of 2014 requires an awardee to commit to reporting to the Director of Finance the following:

- On or before January 1, 2018, an evaluation of the effectiveness of the changes described in its application in achieving the state's priorities through the 2016–17 academic year.
- On or before January 1, 2020, an evaluation of the effectiveness of the changes described in its application in achieving the state's priorities through the 2018–19 academic year.

In the initial applications, the chief executive officers of the entities who coordinated applications selected for awards submitted assurances to the committee indicating that they will submit these reports.

Furthermore, in those applications, awardees described how they will evaluate whether the changes they described achieve specific goals set by the participants in the applications and how they will use that feedback to inform future changes. The applications also described targets for measures of effectiveness for each academic year through 2018-19. Staff expects that the methods and goals described by the awardees will be central to the evaluations required in the budget act.

Given related discussion at the committee meeting on March 10, staff also expects that the awardees will also report on the following: (1) the actual expenditures supported by award funds and (2) the effectiveness of those expenditures in achieving the state's priorities.

To the extent feasible, Department of Finance staff will consolidate requests for reports to the Director of Finance to minimize workload locally and at the state level.

## ITEM C: Strategy to Encourage Dissemination and Replication of Innovations

### *For Information Only*

#### **Summary:**

As requested by committee members at the meeting on March 10, staff will present information regarding opportunities to encourage dissemination and replication of the innovations submitted for awards and those specifically recognized by the committee. Following the staff presentation, the committee will hear from a panel regarding other efforts to support innovation in higher education.

#### **Background:**

The Awards for Innovation in Higher Education were created to recognize changes to policies, practices, and systems across higher education to achieve the state's goals. The applications represent efforts already underway in 58 settings that are intended to achieve the state's goals for higher education. Moving forward, the program seeks to encourage campuses to continue to (1) evaluate the effectiveness of their own efforts, (2) implement changes demonstrated to be effective by other entities, and (3) continue to be willing to experiment with new and untested ways of improving outcomes.

Therefore, staff has asked those interested in the awards to identify venues and other opportunities, such as conferences and meetings, for presentations about the state's approach to encouraging innovation in higher education and the particular innovations described in applications for awards. Staff also has asked the awardees to be available to present their work to others.

Staff intends to take advantage of existing efforts to support institutions interested in changes to policies, practices, and systems, as well as professional communities engaged in sharing best practices. Many of these entities and individuals have expressed interest in (1) what particular innovations are described in the applications, (2) how the awardees initiated and implemented their innovations, and (3) what kinds of conditions support innovation generally.

The panel represents a subset of the individuals interested in these efforts. The following individuals will present to the committee and be available to answer questions from members:

- Bridget Burns, executive director, University Innovation Alliance.
- Christopher Cabaldon, principal, Capitol Impact, LLC.
- Paul Steenhausen, executive director, Success Center for California Community Colleges.
- Andrea Venezia, executive director, EdInsights.